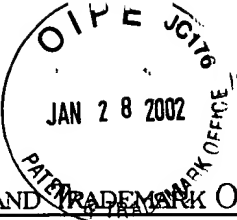




## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,132	11/07/2001	Paul Gilson	08157.0015

CONFIRMATION NO. 4649

## FORMALITIES LETTER



\*OC000000007115465\*

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 11/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/30/2002 BABRAHA1 00000105 09986132

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	360.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

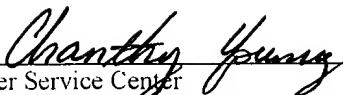
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$676.
  - \$396 for 22 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1546.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Abstract must be on a separate sheet.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



In re Application of:

Application No.: 09/986,132

Group Art Unit: 3762

Filed: November 7, 2001

Examiner: Unknown

For: **SUPPORT FRAME FOR AN  
EMBOLIC PROTECTION DEVICE**

Attn: **BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, DC 20231

**Sir:**

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application dated November 26, 2001, Applicants submit herewith the required surcharge of \$130.00, an executed Declaration and Power of Attorney document, and a copy of the Notice to File Missing Parts of Nonprovisional Application. An Abstract of the Disclosure is included with the enclosed Preliminary Amendment.

Please grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account no. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: 

Roland G. McAndrews, Jr.  
Reg. No. 41,450

Dated: January 28, 2002

271653 1

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)



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PATENT  
Customer No. 22,852  
Attorney Docket No. 08157.0015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Paul GILSON et al. )  
 )  
Application No.: 09/986,132 ) Group Art Unit: 3762  
 )  
Filed: November 7, 2001 ) Examiner: Unknown  
 )  
For: SUPPORT FRAME FOR AN )  
EMBOLIC PROTECTION )  
DEVICE )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**TRANSMITTAL LETTER**

Enclosed please find the following:

1. A Response to Notice to File Missing Parts of Nonprovisional Application including (1 page) a copy of the Notice (2 pages) and an executed Declaration & Power of Attorney document (2 pages);
4. An Assignment Recordation Cover Sheet (1 page) with executed Assignment document (3 pages);
5. Claim for Priority (1 page) with one certified priority document;
6. Preliminary Amendment (9 pages); and
7. A check in the amount of \$1270.00 to cover the \$740.00 filing fee, \$360.00 additional claims fee, \$130.00 surcharge fee, and \$40.00 Assignment fee.

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

A claim fee is calculated below:

	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	Additional Fee
Total	40	-	20	20	x \$ 18	\$ 360.00
Indep.	2	-	3	0	x \$ 84	0.00
<input type="checkbox"/> First Presentation of Multiple Dep. Claim(s)					+\$280	0.00
Subtotal						\$ 360.00
Reduction by 1/2 if small entity						- 0.00
TOTAL						\$ 360.00

Please grant any extensions of time required and charge any additional required fees to our deposit account no. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: January 28, 2002

By: 

Roland G. McAndrews, Jr.  
Reg. No. 41,450

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FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
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